

**Schedule 2**

**ISSUER REGISTRATION STATEMENT  
Sections 97(2), 97(3) and 97(4) of the Securities Act, 2001**

**FORM RS – 2**

Select One:  Annual Registration  Issue of Securities

**St. Lucia Electricity Services Limited**

(Exact name of Company as set forth in Certificate of Incorporation)

Place and date of incorporation: **Saint Lucia, November 9th 1964**

Street and postal address of registered office:

**Sans Souci, John Compton Highway, Castries, St. Lucia**

**P.O. Box 230, Castries LC04 101, Saint Lucia**

Company telephone number: ( **758** ) **457 4400**

Fax number: ( **758** ) **457 4409**

Email address: **connected@lucelec.com**

Financial year-end: **31** **December** **2020**  
(month) (day) (year)

Contact person(s): **Trevor M. Louisy**

Telephone number (if different from above): ( **758** ) **457-4401**

Fax number: ( **758** ) **457-4409**

Email address: **tlouisy@lucelec.com**

Did the company file all reports required to be filed by Section 98 of the Securities Act, 2001 during the preceding 12 months?

Yes

No

Did the company file all reports required to be filed by the Companies Act during the preceding 12 months?

Yes

No

**1. Description of the Industry in which the Company Operates**

The Company has a license to generate transmit and distribute electricity under the Electricity Supply Act (as amended) Cap. 9.01 Revised Laws of Saint Lucia

**2. Exchanges on which the Company's Securities are Listed**

| <b>Exchange(s)</b>                    | <b>Securities Type</b> | <b>No. of Shares</b> | <b>Valuation</b> |
|---------------------------------------|------------------------|----------------------|------------------|
| Eastern Caribbean Securities Exchange | Ordinary Voting        | 22,400,000           | 448,000,000      |
|                                       |                        |                      |                  |
|                                       |                        |                      |                  |
|                                       |                        |                      |                  |
|                                       |                        |                      |                  |
|                                       |                        |                      |                  |
|                                       |                        |                      |                  |

**3. Description of Securities Being Offered (including who is the Issuer and who is the Offeror of the Securities)**

N/A

**4. Territories in which Securities are Being Offered**

| <b>Territory</b> | <b>Effective Date</b> |
|------------------|-----------------------|
| N/A              |                       |
|                  |                       |
|                  |                       |
|                  |                       |
|                  |                       |

**5. Description of Share Capital**

a) Authorised

| <b>TYPE/CLASS</b>               | <b>No. OF SHARES</b> |
|---------------------------------|----------------------|
| Ordinary Voting Shares          | 100,000,000          |
| 5% Redeemable Preference Shares | 1,214,218            |
| Ordinary Non-Voting Shares      | 800,000              |
|                                 |                      |
|                                 |                      |

b) Issued

| <b>TYPE/CLASS</b>          | <b>No. OF SHARES</b> |
|----------------------------|----------------------|
| Ordinary Voting Shares     | 22,400,000           |
| Ordinary Non-Voting Shares | 520,000              |
|                            |                      |
|                            |                      |
|                            |                      |

c) Outstanding

| <b>TYPE/CLASS</b> | <b>No. OF SHARES</b> |
|-------------------|----------------------|
| N/A               |                      |
|                   |                      |
|                   |                      |
|                   |                      |
|                   |                      |

**6. EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: \_\_\_\_\_

Name: \_\_\_\_\_ Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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*Use additional sheets if necessary (See Appendix 1).*

**7. DIRECTORS OF THE COMPANY**

Information concerning non-Executive Directors:

Name: \_\_\_\_\_ Position: \_\_\_\_\_

Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

*Use additional sheets if necessary (See Appendix 2)*

## 8. SUBSTANTIAL SHAREHOLDERS

- (a) Principal owners of the company (those who beneficially own more than 5% of the common and preferred shares presently outstanding whether directly or indirectly) starting with the largest common shareholder. Indicate by endnote any transaction where the consideration was not cash. State the nature of any such consideration.

### SECURITIES NOW HELD:

| <b>Name:<br/>Address:</b>      | <b>Class of Shares:</b> | <b>No.<br/>of Shares:</b> | <b>% of Total</b> |
|--------------------------------|-------------------------|---------------------------|-------------------|
| Emera (St. Lucia) Ltd          | Ordinary Voting         | 4,480,000                 | 20%               |
| First Citizen Bank Limited     | Ordinary Voting         | 4,480,000                 | 20%               |
| National Insurance Corporation | Ordinary Voting         | 4,480,000                 | 20%               |
| Castries City Council          | Ordinary Voting         | 3,472,000                 | 15.5%             |
| Government of Saint Lucia      | Ordinary Voting         | 2,251,200                 | 10.05%            |
| Government of Saint Lucia      | Ordinary Non-Voting     | 520,000                   | 100%              |
|                                |                         |                           |                   |
|                                |                         |                           |                   |
|                                |                         |                           |                   |

- (b) Include all common shares issuable upon conversion of convertible securities and show conversion rate per share as if conversion has occurred.

### UPON CONVERSION:

| <b>Name:<br/>Address:</b> | <b>Class of<br/>Shares:</b> | <b>Conversion<br/>Rate:</b> | <b>No. of Shares<br/>upon<br/>Conversion</b> | <b>% of<br/>Total*</b> |
|---------------------------|-----------------------------|-----------------------------|--|------------------------|
| N/A                       |                             |                             |  |                        |
|                           |                             |                             |  |                        |
|                           |                             |                             |  |                        |
|                           |                             |                             |  |                        |
|                           |                             |                             |  |                        |
|                           |                             |                             |  |                        |
|                           |                             |                             |  |                        |

\* Current holding of shares if conversion option were exercised.

**9. Name and Address of Parent**

| <b>Name:</b> | <b>Address:</b> | <b>Country of Incorporation:</b> | <b>Countries of Registration (where applicable)</b> | <b>Name of the Exchange(s) on which the company's securities are listed:</b> |
|--------------|-----------------|----------------------------------|---|--|
| N/A          |                 |                                  |   |  |
|              |                 |                                  |   |  |
|              |                 |                                  |   |  |
|              |                 |                                  |   |  |

**10. Name(s) and Address(es) of Subsidiary(ies)**

| <b>Name:</b>                    | <b>Address:</b>   | <b>Percentage Ownership:</b> | <b>Name of the Exchange(s) on which the company's securities are listed:</b> |
|---------------------------------|---|------------------------------|--|
| LUCELEC Cap-Ins. Inc.           | Sans Souci, John<br>Compton Highway,<br>Castries, St. Lucia | 100%                         | None   |
| Energyze Holdings Inc.<br>(EHI) | ditto   | 100%                         | None   |

**11. Name(s) and Address(es) of Affiliate(s)**

| <b>Name:</b> | <b>Address:</b> | <b>Name of the Exchange(s) on which the company's securities are listed:</b> |
|--------------|-----------------|--|
| N/A          |                 |  |
|              |                 |  |
|              |                 |  |
|              |                 |  |
|              |                 |  |

## SIGNATURES

A Director, the Chief Executive and Corporate Secretary shall sign this Registration Statement on behalf of the company. By so doing each certifies that he has made diligent efforts to verify the material accuracy and completeness of the information herein contained.

Name of Chief Executive Officer:

Name of Director:

Trevor M. Louisy

Charles Serieux

Signature

Signature

SIGNED AND CERTIFIED

SIGNED AND CERTIFIED

Date

Date

Name of Corporate Secretary:

Gillian S. French

SIGNED AND CERTIFIED

Date

**APPENDIX 1  
EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: Managing Director

Name: Trevor Martin Louisy Age: 58

Mailing Address: P.O. Box 230  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-457-4400

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

2004 to present - Managing Director St. Lucia Electricity Services Limited  
Responsible for the management, business operations and strategic positioning of  
LUCELEC

Education (degrees or other academic qualifications, schools attended, and dates):

BSc. Electrical Engineering, University of the West Indies, St. Augustine Campus

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: Chief Financial Officer

Name: Ian Peter Age: 52

Mailing Address: P.O. Box 230  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-457-4400

**List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.**

Chief Financial Officer St. Lucia Electricity Services Limited (2016 to present) Responsibilities: 1) leads and manages the Finance and Accounts and Customer Services Departments through effective performance management, target setting, coaching, mentoring, motivating and disciplining staff to drive productivity for the achievement of set priorities and to ensure compliance with Company Policies and regulatory requirements 2) collaborates with the Managing Director in advising the Board of Directors on matters pertaining to finance policy, to ensure that financial strategies, decisions and functions are effectively geared towards the attainment of the Company's goals  
Director of Finance Unicomer (2008 - 2015) Responsibilities: 1) Develop financial strategies based on planned capital, facilities and resource requirements; identifying monetary resources and developing action plans to enable the attainment of the Company's goals. 2) oversee the financial management, external financial reporting internal management reporting, internal control and treasury function of the ECS business 3) Establish internal controls policies and procedures in compliance with the relevant financial acts, taxation obligation and other legislation accounting policies , International Financial Reporting Standards (IFRS) to optimize the effectiveness and efficiency of Unicomer's operations

**Education (degrees or other academic qualifications, schools attended, and dates):**

Fellow of the Association of Chartered Certified Accountants (FCCA) Member since 1998

BSc. (Hons.) Management Studies, University of the West Indies, Cave Hill Campus 1989 - 1992

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: Business Development Manager

Name: Victor E. Emmanuel Age: 59

Mailing Address: P.O. Box 230  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-457-4400

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

St. Lucia Electricity Services Limited - Business Development Manager 2008 to present  
Responsible for the identification and development of new business ventures for the Company

Education (degrees or other academic qualifications, schools attended, and dates):

B. Eng. Electrical Engineering - Mc Gill University 1981 -1985  
MSc. Information System Engineering - UMIST 1994-1995

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: Chief Engineer

Name: Gilroy Pultie Age: 53

Mailing Address: P.O. Box 230  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-457-4400

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

St. Lucia Electricity Services Limited: Chief Engineer 2020 - Present Responsible for coordinating the activities of the Engineering Division.

Nevis Electricity Company Limited: General Manager 2019-2020 (secondment)

St. Lucia Electricity Services Limited: T&D Manager Jan 2019- Aug2019 – T&D Manager

St. Lucia Electricity Services Limited: Chief Engineer 2015 – 2019 Business Process Support Manager (secondment)

Education (degrees or other academic qualifications, schools attended, and dates):

Master of Business Administration (Finance) Heriot-Watt University 2014

Certified Diploma in Accounting & Finance, ACCA 2000

Bachelor of Science - Electrical and Computer Engineering – UWI (1988-1991)

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: Senior Human Resources Manager

Name: Sharon Narcisse Age: 46

Mailing Address: P.O. Box 230  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-457-4400

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

2017 to present Senior Human Resources Manager, St. Lucia Electricity Services Limited-  
Responsible for the administration, co-ordination and evaluation of the overall human resource  
management function by leading HR practices and functions that will engender a high performance  
culture.  
2015 -2017 – Senior Manager - Human Resources – Saint Lucia Air and Sea Ports Authority -  
Responsible for the development, coordination, monitoring and implementation of the Authority's  
overall human resource programs, policies and procedures for the achievement of the  
organization's strategic objective through its people

Education (degrees or other academic qualifications, schools attended, and dates):

Master of Management Studies (with First Class Honours) in Human Resource Management, University  
of Waikato, Hamilton, New Zealand (2004 - 2005)  
Bachelor of Business Administration, Andrews University, Berrien Springs, Michigan, USA (1991 -1996)  
Associate of Science Degree in Computer Information Systems, Andrews University, Berrien Springs,  
Michigan, USA (1991-1996)

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company  
matters: N/A

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: \_\_\_\_\_

Name: \_\_\_\_\_ Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: \_\_\_\_\_

Name: \_\_\_\_\_ Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: \_\_\_\_\_

Name: \_\_\_\_\_ Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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**EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY**

Position: \_\_\_\_\_

Name: \_\_\_\_\_ Age: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: \_\_\_\_\_

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of current responsibilities.

Education (degrees or other academic qualifications, schools attended, and dates):

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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**APPENDIX 2**  
**DIRECTORS OF THE COMPANY**

Information concerning non-Executive Directors:

Name: John A. C. Joseph Position: Chairman

Age: 65

Mailing Address: P.O. Box 577  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-716-1584

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Independent Consultant and Regional Associate for World Water and Wasterwater Services Ltd. of Canada

Providing regulatory, policy, financial and tariff consultancies regionally and providing Effective Utility Management Training Regionally and Internationally

Education (degrees or other academic qualifications, schools attended, and dates):

BSc. Economics (Hons.) UWI (Cave Hill Campus) 1977

MBA - Corporate Finance - Fairleigh Dickinson University, USA - 1986

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Charles Serieux Position: Director

Age: 59

Mailing Address: P.O. Box CP 5775

Castries

Saint Lucia

Telephone No.: 1-758-285-2431

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

|                                  |
|----------------------------------|
| Managing Director Ultramart Inc. |
|----------------------------------|

Education (degrees or other academic qualifications, schools attended, and dates):

|   |
|---|
| Chartered Accountant - Association of Chartered Certified Accountants of the United Kingdom |
|---|

## **DIRECTORS OF THE COMPANY**

Information concerning non-Executive Directors:

Name: Leslie Prospere Position: Director

Age: 44

Mailing Address: P.O Box 161  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-285-4122 (M) 1-758- 452-2311 (W)

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Partner with the law firm of Gordon, Gordon & Co. - providing legal advice and representation to clients in all areas of the law. Appearing in all courts in the judicial hierarchy in Saint Lucia including the Judicial Committee of the Privy Council

**Education (degrees or other academic qualifications, schools attended, and dates):**

Bachelor of Laws - University of London (1997 - 2000)  
Legal Education Certificate - Hugh Wooding Law School (2000 - 2002)  
Certified Country Assessor (Caribbean Financial Action Task-force (CFATF) - Member of the CFATF that did the 2010 country assessment for Belize  
Attorney General's nominee on the Engineers Registration Board (2006), Saint Lucia Road Transport Board (2007 - 2010) and Central Tenders Board (2006 - 2010)

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Sharon L. Christopher Position: Director

Age: 64

Mailing Address: 31 Starboard Drive  
Westmoorings  
Trinidad

Telephone No.: 1-868-633-3669 (Home) 1-868-678-7338 (Mobile)

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

2016 to Present Principal Sharon Christopher & Associates

2007 to 2016 - Deputy Chief Executive Officer/Group Corporate Secretary  
Held executive management authority for the following areas: Legal, Group Operational Risk & Compliance, Human Resources, Group Facilities Management Services, Security Services, Marketing and Information & Communication Technology

**Education (degrees or other academic qualifications, schools attended, and dates):**

London School of Economics & Political Science, University of London 1980- 1981  
Master of Laws (LLM) Corporate Law

Hugh Wooding Law School 1978 - 1980 - Legal Education Certificate

University of the West Indies, Cave Hill Campus, Faculty of Law - 1975 - 1978  
Bachelor of Law (LLB) Upper Second Class Honors

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Nicholas John Position: Director

Age: 65

Mailing Address: P.O. Box 1209  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758-451-9237(W) 1-758-451-7573 (W) 1-758-452-9070

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Principal - Nicholas John & Co., 1994 to present

Managing Director - Hewanora Fiduciary Services Group 1999 to present

Education (degrees or other academic qualifications, schools attended, and dates):

University - London School of Economics & Political Science 1973 - 1977

Law School - Council of Legal Education of England 1977 - 1978

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Carole Eleuthere Jn Marie Position: Director

Age: 53

Mailing Address: First Citizens Bank (Barbados) Limited  
2nd Broad Street, 4th Floor  
Bridgetown, St. Michael BB11000, Barbados

Telephone No.: 1-246-431-4510

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

2019 to present Chief Executive Officer First Citizens Bank (Barbados) Limited

2016 to 2016 - Interim Chief Executive Officer First Citizens Bank (Barbados) Limited

2006 to 2016 - Regional Manager EC and Barbados First Citizens Investment Services Limited:

- Lead and define a rapidly growing Caribbean Regional Investment Brokerage Operation which caters for the investment needs of a wide range of financial and non-bank financial institution, large corporation and high net worth retail clients
- Lead and drive Capital Market transactions for regional Caribbean Governments and large corporations
- Coach and direct the Country Head in Regional Territories
- Lead and drive the execution of comprehensive business plans and strategies that create , develop, educate and nurture the capital markets of the Eastern Caribbean and Barbados
- Maintain a highly driven, motivated and customer focused regional team with a with a customer centric and proactive sales culture
- Provide regional leadership and guidance with respect to HR, Brand and marketing policies, Compliance etc.

Education (degrees or other academic qualifications, schools attended, and dates):

FCCA - Emile Woolf College 1992

BSc. (Hons) University of the West Indies, Cave Hill Campus 1987-1990

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Roger Blackman Position: Director

Age: 50

Mailing Address: Barbados Light and Power Company Limited

Garrison Hill, St. Michael

Barbados BB11000

Telephone No.: 1-246- 626-4210 (W) 1-246-266-7427 M)

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

2016 to present Managing Director Barbados Light and Power Company Limited responsible for developing and implementing company strategy and driving organizational success.

2014 - 2016 Senior Director Business Development at Emera Inc.

Education (degrees or other academic qualifications, schools attended, and dates):

2008: Masters of Business Administration, Durham University UK

1991: Bachelor of Science in Engineering, University of the West Indies, St. Augustine Campus

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Frank Vernon Myers Position: Director

Age: 67

Mailing Address: P.O. Box 1101  
Castries LC04 101  
Saint Lucia

Telephone No.: 1-758- 453-1471 (W) 1-758- 285-9178 (M)

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

KPMG Eastern Caribbean, Partner 1994 to March 2017)  
BDO Eastern Caribbean, Partner April 2017 to September 30 2017)  
  
Audit and Tax Partner  
Risk Management Partner  
Delivery of professional services to clients throughout the Eastern Caribbean  
  
Frank Myers Consulting Principal October 2017 to present  
Consulting Principal  
Consulting services, principally tax, to clients in Saint Lucia

Education (degrees or other academic qualifications, schools attended, and dates):

BSc. (Math & Statistics) University of Edinburgh 1971 - 1975  
  
FCCA (Association of Chartered Certified Accountants) 1980-1983

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Dr. Frederick Nicomedes Isaac Position: Director

Age: 57

Mailing Address: c/o Conway Business Centre

P.O. Box CP 5486

Castries C04 301, Saint Lucia

Telephone No.: 1 (758) 485-3144

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

2001 to present - Executive Chairman - Energy & Advanced Control Technologies  
Served as Chairman and executed the functions of the CEO's office including general executive management and MEP consultant team leader

2018 to present - President/Chairman - Smart Technologies  
Directed the renewable energy and building automation initiatives/projects of the company

**Education (degrees or other academic qualifications, schools attended, and dates):**

Ph. D - Computer and Electrical Engineering, 1997, Marquette University, Milwaukee, Wisconsin GPA 3.7

Master's Degree - Electrical Engineering, 1995, Clarkson University, Potsdam, New York GPA 4.0

BS,- Electrical Engineering 1994, Clarkson University, Potsdam, New York GPA 3.8

BS, Electronics Engineering 1993, Cooks Institute, Mississippi

HND Electrical Engineering 1987, Higher Technical Institute Nicosia Cyprus

## DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Lindi Ballah-Tull Position: Director

Age: 53

Mailing Address: c/o First Citizens Bank Limited, Corporate Centre  
9 Queen's Park East, Port of Spain  
Trinidad and Tobago

Telephone No.: 1-868-623-9540 (O) 1-868-777-6614 (M)

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

October 11, 2010 to present - Legal Compliance and Governance/Group Corporate Secretary

Head Legal Compliance and Governance: Directs the legal risk for the Group by advising the First Citizens Group concerning legal rights, obligations and privileges and leads/directs the First Citizens Group in all matters involving legal and regulatory risks in Trinidad and Tobago through close working relationships with the Senior Manager-Legal (and the legal team) in the markets in which we serve.

Chief Compliance Officer: Directs all aspects of the Group's compliance program through close working relationships with Senior Manager Compliance and other Compliance Managers for the Bank and companies within the First Citizens Group in Trinidad and Tobago and in the markets in which we serve.

Group Corporate Secretary: Acts for Group ensuring that all duties as required under the law are carried out effectively and responsible for sound governance and for the guidance of the Boards of the various subsidiaries within the Group in the execution of tasks required in Trinidad and Tobago and in the markets in which we serve.

Education (degrees or other academic qualifications, schools attended, and dates):

1991 - Legal Education Certificate (LEC) - Hugh Wooding Law School

1989 - Bachelor of Laws (LLB) (Hons.) - University of the West Indies, Faculty of Law, Cave Hill Campus Barbados.